

# Characterizing the Gröbner Bases of Generic Ideals

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## Introduction

Algebraic geometry is the study of finding zeros of multivariate polynomials. Computation allows for the calculation of Gröbner bases from a set of polynomials. Moreno-Sociás conjectured that the initial ideal generated by generic polynomials relative to graded reverse lexicographic ordering is weakly reverse lexicographic. This has been proven up to  $n = 3$ , as well as for certain special cases. Numerous other mathematicians have used the conjecture to show that it implies various other conjectures, including Fröberg's conjecture.

## Objectives

The complexity of the subject divided our work into two parts: understanding the background information, and manipulating the concepts and ideals. Working with a conjecture made previously by fellow students, our goals included:

- Testing the validity of the conjecture
- Reformulating the conjecture if needed
- Confirming the presence of the conjecture in the 2-variable case
- Proving that the conjecture implies Moreno-Sociás' conjecture
- Proving that the conjecture implies Fröberg's conjecture on Hilbert Series
- Explicitly characterizing the Gröbner bases of "random" generic generators
- Proving the conjecture in the 3-variable case as well as the n-variable case

## Methods

We first studied the basic concepts of computational algebraic geometry and the proofs of Moreno-Sociás' conjecture in the 2-variable case.

We then worked with a computer program to look at the Gröbner bases generated by three generic polynomials of varying degrees in three variables in order to understand what was going on in the 3-variable case.

## Key Definitions

Let  $R = K[x_1, \dots, x_n]$  be the polynomial ring in  $n$  variables over the infinite field  $K$

- A **generic polynomial**  $f = \sum_m \alpha_m m$  where  $m$  runs over all monomials of degree  $d$  with  $\alpha_m \in K$  satisfies
  - If  $m \neq m'$  then  $\alpha_m \neq \alpha_{m'}$ .
  - The set  $\{\alpha_m\}$  is algebraically independent over  $K$ .
- A **generic ideal**  $I$  is generated by generic polynomials  $f_1, \dots, f_r$ , where  $f_i = \sum_{m_{f_i}} \alpha_{m_{f_i}} m_{f_i}$  such that
  - $\alpha_{m_{f_i}} \neq \alpha_{m_{f_j}}$  for  $i \neq j$ .
  - The set  $\{\alpha_{m_{f_i}} \mid 1 \leq i \leq r\}$  is algebraically independent over  $K$ .
- **Grevlex Order:** we say that  $x^\alpha > x^\beta$  if  $\deg(\alpha) > \deg(\beta)$  or they are of the same degree and the rightmost nonzero entry of  $\alpha - \beta$  is negative.
- A monomial ideal  $J \subset R$  is **weakly reverse lexicographic** if whenever  $x^\alpha \in J$  is a minimal generator, then every monomial of the same degree preceding  $x^\alpha$  in grevlex order is also in  $J$ .

## Conjecture

### CCOZ Conjecture

Let  $I$  be generated by three generic polynomials of degrees  $d_1 \leq d_2 \leq d_3$  with  $J = \text{in}(I)$  the initial ideal. Let  $X \subseteq J$  be the set of minimal generators, with  $X_s$  the minimal generators of degree  $s$ . Then

1. If  $x^\alpha, x^\beta \in X_s$  and  $x^\alpha > x^\gamma > x^\beta$  in grevlex order then  $x^\gamma \in J_s$ .
2.  $\text{Max } X_{s+1}$  is determined by multiplying the first element in the complement of  $J_s$  by the last nonzero element in the multi-degree of that element.
3. There is a reduced Gröbner basis  $G$  of  $I$  such that for each  $f \in G$ , a monomial  $m$  of  $f$  follows the leading term of  $f$ , in grevlex ordering, if and only if  $m$  has the same total degree of  $f$  and  $m \notin J$ .

## Results

- The CCOZ conjecture implies the Moreno-Sociás conjecture in 3 variables and can be extended to the n-variable case.
- If we know the initial ideal, we can construct the Gröbner basis.
- The bases depend on the starting degrees of the generic polynomials.



## Future Research

In general, this research allows us to better characterize Gröbner bases of generic ideals, but we are still left with several open-ended questions that could be investigated in more depth in the future:

- Can we determine the end point of each  $X_s$  so that we can more explicitly describe the bases?
- Can we prove the CCOZ conjecture is true?
- Can the CCOZ conjecture be used to prove Fröberg's conjecture on Hilbert series without Moreno-Sociás' conjecture?

## References

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